



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|           |   |                         |   |                     |
|-----------|---|-------------------------|---|---------------------|
| Applicant | : | Rolf E. Carlson         | ) | Group Art Unit 2136 |
|           |   |                         | ) |                     |
| Appl. No. | : | 09/698,507              | ) |                     |
|           |   |                         | ) |                     |
| Filed     | : | October 26, 2000        | ) |                     |
|           |   |                         | ) |                     |
| For       | : | CRYPTOGRAPHY AND        | ) |                     |
|           |   | CERTIFICATE AUTHORITIES | ) |                     |
|           |   | IN GAMING MACHINES      | ) |                     |
|           |   |                         | ) |                     |
| Examiner  | : | Brandon S. Hoffman      | ) |                     |
|           |   |                         | ) |                     |

DECLARATION UNDER 37 C.F.R. § 1.131

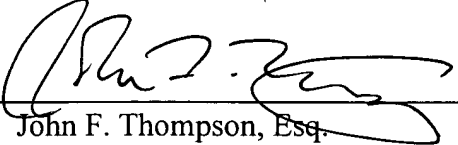
1. This declaration is to establish invention priority of the above-referenced U.S. Patent Application No. 09/698,507.
2. From at least June 18, 1999 until September 30, 1999, I had been actively working as a full-time patent attorney with multiple pending and yet-to-be-filed patent applications, including an application directed to the subject invention.
3. From at least June 18, 1999 until September 30, 1999, I corresponded frequently, and for some period of that time, nearly daily, by telephone and email with the inventor Rolf Carlson to prepare a patent application, provisional application no. 60/161,591 to which the above-identified application claims priority. Copies of representative emails are attached as Appendix A. In addition, copies of my time sheets are attached as Appendix B. These time sheets document my approximately 170 hours of work on the '591 provisional application from April through September 1999. The firm's docket number is identified as either "Mikohn/246" or "1482/426" in Appendix B and my entries are indicated by my initials JFT. Unrelated work has been redacted out.
4. On August 25, 1999, I mailed a draft of the above-identified provisional application to the inventor. A copy of the transmittal letter is attached as Appendix C.
5. On or about September 30, 1999, I turned over further preparation of the provisional application to Bob Dorr.

Appl. No. : 09/698,507  
Filed : October 26, 2000

Penalty of Perjury Statement

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent resulting therefrom.

Dated: July 8, 2005

By:   
John F. Thompson, Esq.

1788644  
062405

**John Thompson**

---

**From:** Rolf Carlson <rolfc@swcp.com>  
**To:** John Thompson (E-mail) <jthompson@patnet.com>  
**Sent:** Tuesday, July 13, 1999 8:24 PM  
**Subject:** Patent and Presentation

Hi John,

How is the Certificate Authority patent coming along? I'd like to start putting that presentation together on both the UGE and Certificate Authority Patent so we can brief Mikohn. I'll send you a draft by the end of the week on the presentation. Is your time clear to start looking at the presentation in addition to working on the Certificate Authority Patent?

Rolf

=====

Rolf Carlson  
 Phone: (505) 857-0710  
[rolfc@swcp.com](mailto:rolfc@swcp.com)

7/14/99

**John Thompson**

From: John Thompson <jthompson@patnet.com>  
To: <rolfo@swcp.com>  
Sent: Wednesday, July 14, 1999 9:18 AM  
Subject: Re: Patent and Presentation

Rolf,

The revision of the patent application is coming along fine. We have revised the claims and drawings. We are in the middle of the revision of the specification. I should finish the revision of the specification by Thursday and then Bob will review the revised specification. I believe that we should have a draft to you by early next week.

Please feel free to send the presentation. I will review it and provide you with any comments or additional information that you need.

In addition, we have received an Office Action from the Patent Office with

**REDACTED**

Thanks for your email and I will be talking to you on Friday.

John F. Thompson  
Dorr, Carson, Sloan and Birney  
3010 East Sixth Ave.  
Denver, CO 80206

(303) 333-3010  
FAX (303) 333-1470

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--- Original Message ---

From: Rolf Carlson <rolfo@swcp.com>  
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Sent: Tuesday, July 13, 1999 8:24 PM  
Subject: Patent and Presentation

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Authority

- > Patent so we can brief Mikohn. I'll send you a draft by the end of the week
- > on the presentation. Is your time clear to start looking at the presentation in addition to working on the Certificate Authority Patent?
- >
- > Rolf
- >
- > =====
- > Rolf Carlson
- > Phone: (505) 857-0710
- > [rolfc@swcp.com](mailto:rolfc@swcp.com)

**DORR, CARSON, SLOAN & BIRNEY, P.C.**

Robert C. Dorr  
W. Scott Carson  
Jack C. Sloan  
Thomas S. Birney

The Patent Law Building  
3010 East 6th Avenue  
Denver, Colorado 80206

Leslie P. Kramer  
John F. Thompson

(303) 333-3010  
FAX (303) 333-1470

Of Counsel:  
Christopher H. Munch  
Steve A. Mains

August 25, 1999

Rolf Carlson  
Spring Creek Technologies, Corp.  
5309 C Heritage Way NE  
Albuquerque, NM 87109

Re: Draft U.S. Patent Application entitled "CRYPTOGRAPHY AND CERTIFICATE  
AUTHORITIES IN GAMING MACHINES"  
Docket No. 1482/246

Dear Rolf:

Enclosed please find a first draft of the above-entitled patent application. I have also emailed a copy of the text to you. Please carefully review the patent application and verify that each statement is technically correct. Please feel free to make any additions or deletions that you believe are necessary for proper understanding of the invention.

The patent application must set forth sufficient detail for one skilled in the art to construct your system without undue experimentation. Furthermore, the disclosure must represent the best mode as of the date of filing. These are strict legal requirements which must be adhered to and, if not, the validity of any issuing patent may be affected.

Please carefully study the claims at the end of the application. Also remember that the claims, if allowed, will represent the legal monopoly. Therefore, it is important that you understand them.

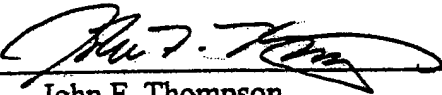
In addition, we would like any written information or publications that you have that show the prior devices that are described in the background section of the application so that we may submit these documents to the Patent Office.

Also note that we represent Mikohn Gaming in this matter, and we do not represent you personally.

If you have any questions or comments, please contact me.

Sincerely yours,

DORR, CARSON, SLOAN & BIRNEY, P.C.

By:   
John F. Thompson

## ROSEMONT FORMS, INC.

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PETERSBURG, PA 16669  
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## TIME RECORD (FORM S-3)

## CLIENT TIME

1.A - Attend/  
2.C - Confer/  
3.D - Draft  
4.I - Instruct  
5.I - Investigate6.L - Dictate/Letter  
7.R - Research  
8.S - Study/Review  
9.T - Telephone  
10.V - Travel

## NON-CLIENT TIME

11.E - Education/Reading  
12.M - Office Management  
13.X - Bar/Community Activities  
14.P - Personal  
15.Y - Vacation

## DECIMAL CONVERSION

6 minutes = .1 hour  
12 minutes = .2 hour  
18 minutes = .3 hour  
24 minutes = .4 hour  
30 minutes = .5 hour  
36 minutes = .6 hour  
42 minutes = .7 hour  
48 minutes = .8 hour  
54 minutes = .9 hour  
60 minutes = 1.0 hour

| Date    | Client / Matter | File No.<br>Non-Cl. Time | Work Done                               | Lawyer | Time |
|---------|-----------------|--------------------------|---|--------|------|
| 4/22/99 | Wilkoh / 246    | 1482/<br>246             | Continue to draft<br>Patent Application | JFT    | 3 7- |

|         |              |              |   |     |      |
|---------|--------------|--------------|---|-----|------|
| 4/23/99 | Wilkoh / 246 | 1482/<br>246 | Continue to prepare<br>Patent Application | JFT | 4 7- |
| 4/26/99 | Wilkoh / 246 | 1482/<br>246 | Continue to prepare<br>Patent Application | JFT | 5 7- |
| 4/27/99 | Wilkoh / 246 | 1482/<br>246 | Continue to draft<br>Patent Application   | JFT | 3 7- |

4/15

|         |              |              |   |     |      |
|---------|--------------|--------------|---|-----|------|
| 4/28/99 | Wilkoh / 246 | 1482/<br>246 | Continue to prepare<br>Patent Application | JFT | 4 2- |
|---------|--------------|--------------|---|-----|------|



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## DECIMAL CONVERSION

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| 6 minutes=.1 hour  | 36 minutes=.6 hour  |
| 12 minutes=.2 hour | 42 minutes=.7 hour  |
| 18 minutes=.3 hour | 48 minutes=.8 hour  |
| 24 minutes=.4 hour | 54 minutes=.9 hour  |
| 30 minutes=.5 hour | 60 minutes=1.0 hour |

[illegible]

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| 24 minutes = .4 hour | 54 minutes = .9 hour  |
| 30 minutes = .5 hour | 60 minutes = 1.0 hour |

| Date   | Client / Matter | File No.<br>Non-CL Time | Work Done   | Lawyer | Time |
|--------|-----------------|-------------------------|---|--------|------|
| 5/3/99 | Mikohn / 246    | 1482/<br>246            | Prepare Patent Application;<br>Draft <del>the</del> Claims            | JFT    | 5 6  |
| 5/4/99 | Mikohn / 246    | 1482/<br>246            | Continue to prepare patent<br>Application; continue to prepare claims | JFT    | 6 2  |
| 5/5/99 | Mikohn / 246    | 1482/<br>246            | Continue to prepare patent<br>application; draft claims               | JFT    | 4 1  |

|        |              |              |  |     |     |
|--------|--------------|--------------|--|-----|-----|
| 5/6/99 | Mikohn / 246 | 1482/<br>246 | Prepare application, begin<br>to draft background. | JFT | 4 5 |
|--------|--------------|--------------|--|-----|-----|

|         |              |              |   |     |     |
|---------|--------------|--------------|---|-----|-----|
|         |              | 100          | Review inventor's comments                                    |     |     |
| 5/10/99 | Mikohn / 246 | 1482/<br>246 | Continue to draft<br>background                               | JFT | 6 4 |
| 5/12/99 | Mikohn / 246 | 1482/<br>246 | Begin to prepare<br>disclosure                                | JFT | 6 2 |
| 5/13/99 | Mikohn / 246 | 1482/<br>246 | Continue to prepare<br>disclosure; draft detailed description | JFT | 2 6 |

6/15

Appendix B

## TIME RECORD (FORM S-3)

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30 minutes = .5 hour      60 minutes = 1.0 hour

| Date    | Client / Matter | File No.<br>Non-Cl. Time | Work Done   | Lawyer | Time |
|---------|-----------------|--------------------------|---|--------|------|
| 5/21/99 | Wilkohm/246     | 1482/<br>246             | discussion ...<br>Continue to prepare<br>detailed description | JFT    | 1 5  |

|         |             |              |                                    |     |     |
|---------|-------------|--------------|------------------------------------|-----|-----|
| 5/25/99 | Wilkohm/246 | 1482/<br>246 | Continue to prepare<br>Application | JFT | 3 6 |
|---------|-------------|--------------|------------------------------------|-----|-----|

7/15

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| 5/26/99 | Wilkohm/246 | 1482/ | Continue to prepare | JFT | 3 9 |
|---------|-------------|-------|---------------------|-----|-----|

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60 minutes = 1.0 hour

| Date | Client / Matter | File No.<br>Non-Cl. Time | Work Done | Lawyer | Time           |
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| 6/22/99 | Wilkohm / 246 | 1482/246 | Begin to review<br>Inventor's Comments | JFT | 4   1 |
| 6/23/99 | Wilkohm / 246 | 1482/    | Review Prior Art                       | JFT | 7   1 |
| 6/24/99 | Wilkohm / 246 | 1482/246 | Continue to review prior art           | JFT | 6   8 |
| 6/25/99 | Wilkohm / 246 | 1482/246 | Continue to review Inventor's Comments | JFT | 6   2 |
| 6/28/99 | Wilkohm / 246 | 1482/246 | Draft Claims                           | JFT | 7   5 |
| 6/29/99 | Wilkohm / 246 | 1482/246 | Continue to Draft Claims               | JFT | 7   2 |
| 6/30/99 | Wilkohm / 246 | 1482/246 | Continue to Draft Claims               | JFT | 6   7 |
|         |               | 1482/    |  | JFT | 8   5 |
|         |               |          |  |     | 8/15  |
|         |               |          |  |     |       |

## Appendix B

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Date Entered

By:

9/15

# Appendix B

Wilkoh 1/me JF1

| DATE | CLIENT ACCOUNT     | SERVICES PERFORMED  | TIME    |
|------|--------------------|---|---------|
| 7/12 | 1482/246<br>Wilkoh | Continue to Drgt patent application,<br>Finalize claims, and drawings | 5 5 JFT |
| 7/13 | 1482/246<br>Wilkoh | Draft Background and Summary<br>and Abstract of patent application    | 5 9 JFT |
| 7/14 | 1482/246<br>Wilkoh | Drgt Detailed Description<br>of Patent Application                    | 5 7 JFT |
| 7/15 | 1482/246<br>Wilkoh | Continue to Drgt Detailed<br>Description                              | 7 0 JFT |

JFT

|      |                    |  |         |
|------|--------------------|--|---------|
| 7/16 | 1482/246<br>Wilkoh | Continue to Drgt Detailed<br>Description | 5 3 JFT |
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Date Entered \_\_\_\_\_ By: \_\_\_\_\_

5/10

# Appendix B

Wilkohr 11/11/99

| DATE    | CLIENT ACCOUNT      | SERVICES PERFORMED  | TIME    |
|---------|---------------------|---|---------|
| 7/19/99 | Wilkohr<br>1482/246 | Analyze draft of application;<br>Continue to revise draft | 4 0 JFT |
| 7/20/99 | Wilkohr<br>1482/246 | Continue to revise draft of<br>application                | 4 5 JFT |

GT

JFT

GT

|         |                     |   |         |
|---------|---------------------|---|---------|
| 7/21/99 | Wilkohr<br>1482/246 | Continue to revise draft of<br>invention disclosure | 3 3 JFT |
| 7/22/99 | Wilkohr<br>1482/246 | Continue to revise draft of<br>Patent application   | 1 7 JFT |

JFT

JFT

# Appendix B

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8/16/99 10 8/20/99

| DATE      | CLIENT ACCOUNT | SERVICES PERFORMED   | COST | TIME |
|-----------|----------------|--|------|------|
| 8/16/99   |                |  |      | 2    |
| 8/16/99   |                |  |      | 2    |
| 8/16/99   |                |  |      | 8    |
| 8/19/1999 | 1482/246       | Review Application relating to<br>Certificate Authorities                        |      | 2 2  |
| 8/1       |                |  |      | 7    |
| 8/2       |                |  |      | 1    |
| 8/20/1999 | 1482/246       | Continue to Review Application; Begin to<br>Finalize draft for Inventor's Review |      | 1 2  |
|           |                |  |      |      |



# Appendix B

MIKOHN BILLING FORM  
JOHN F. THOMPSON  
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August 23, 1999 to August 27, 1999

| DATE | CLIENT ACCOUNT | SERVICES PERFORMED                                 | COST | TIME |
|------|----------------|--|------|------|
| 8/23 | 15251-         | Continue to Review Patent Application              |      | 3 3  |
| 8/24 | 1482/246       | Continue to Review and Finalize Patent Application |      | 4 1  |
| 8/25 |                |  |      | 1    |
| 8/26 |                |  |      | 3    |
| 8/27 |                |  |      | 6    |
|      |                |  |      |      |
|      |                |  |      |      |

# Appendix B

MIKOHN BILLING FORM  
JOHN F. THOMPSON  
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Sept. 27, 1999 to October 1, 1999

| DATE    | CLIENT ACCOUNT     | SERVICES PERFORMED   | COST | TIME |
|---------|--------------------|--|------|------|
| 9/28/99 | Mikohn<br>1482/246 | Telephone conference with Rolf Carlson;<br>Draft Disclosure of Application |      | 2 3  |
| 8/29/99 | Mikohn<br>1482/246 | Telephone conference with Rolf Carlson;<br>Continue to Draft disclosure    |      | 2 6  |
| 9/30/99 | Mikohn<br>1482/246 | Draft Memo relating to patent<br>application                               |      | 0 4  |
|         |                    |  |      |      |
|         |                    |  |      |      |
|         |                    |  |      |      |
|         |                    |  |      |      |
|         |                    |  |      |      |

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JOHN F. THOMPSON  
\$140/HOUR

Sept. 20, 1999 to Sept. 24, 1999

| DATE    | CLIENT ACCOUNT     | SERVICES PERFORMED   | COST | TIME |
|---------|--------------------|--|------|------|
| 9/20/99 | Mikohn<br>1482/246 | Telephone Conference with Rolf Carlson; begin<br>to draft claims and specification |      | 2 3  |
| 9/22/99 | 1505/521           | Continue to draft response   |      | 1 3  |
| 9/23/99 | Mikohn<br>1482/246 | Telephone conference; continue to draft<br>claims and specification.               |      | 2 8  |
| 9/23    |                    | " " " " "  |      | 3    |
| 9/2     |                    |  |      | 1    |
|         |                    |  |      |      |
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